

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

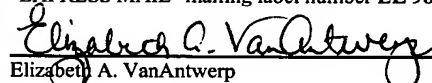
In re the application of: Shinsuke NIIYAMA, Yukihiro YOKOMACHI and
Hiroki ITAKURA

Filed: Concurrently Herewith

For: OPTICAL FIBER ARRAY AND SUBSTRATE FOR THE OPTICAL FIBER
ARRAY

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 24, 2003 under "EXPRESS MAIL" mailing label number EL 989118246 US.


Elizabeth A. VanAntwerp

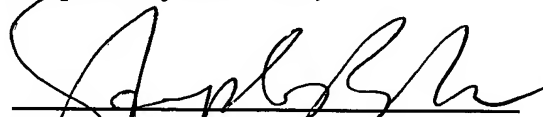
INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Copies of each of the references listed on Form PTO-1449 are attached.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. 1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,


Stephen P. Burr
Reg. No. 32,970

September 24, 2003
Date

SPB/eav

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT***(use as many sheets as necessary)**Complete if Known*

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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> | | | | Application Number | | |
| | | | | Filing Date | | Concurrently Herewith |
| | | | | First Named Inventor | | Shinsuke NIYAMA |
| | | | | Art Unit | | |
| | | | | Examiner Name | | |
| | | | | Confirmation No. | | |
| Sheet | 1 | of | 1 | Attorney Docket No. | | 788_115 |

U.S. PATENT DOCUMENTS

| Exam. Initial | | Document Number | Date | Name | Our Docket No. | Class | Sub Class | Filing Date |
|------------------|--|-----------------|------|------|----------------|-------|--------------|-------------|
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U.S. COPENDING APPLICATIONS

| Exam. Initial | | Application/ Publication Number | Filing/ Publication Date | Inventor Name | Our Docket No. | Class | Sub Class |
|------------------|--|------------------------------------|-----------------------------|---------------|----------------|-------|--------------|
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FOREIGN PATENT DOCUMENTS

| | | Document Number | Date | Country | Class | Sub Class | Translation | Abstract |
|--|-----------|-----------------|------------|---------|-------|--------------|-------------------------|----------|
| | AA | 2000-275478 A1 | 10-06-2000 | JP | | | JPO Machine Translation | ✓ |
| | AB | 2001-343547 A1 | 12-14-2001 | JP | | | JPO Machine Translation | ✓ |
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OTHER DOCUMENTS**(Including Author, Title, Date, Pertinent Pages etc.)**

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| Examiner: | | Date Considered: | |
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.